DEDO	PT DOC	UMENTATIO	N PAGE						
Public reporting burden for this collection lata needed, and completing and reviews his burden to Department of Defense, We 1302. Respondents should be aware that railed OMB control number. PLEASE DO	information is esting this collection of inshington Headquarte	nated to average 1 hour per resistentiation. Send comments regers Services, Directorate for Info	ponse, including the time for revieuarding this burden estimate or an immation Operations and Reports	win AFRL-SR-		ing the ducing 202- urrently			
1302. Respondents should be aware that railed OMB control number. PLEASE DO	notwithstanding any NOT RETURN YOU	other provision of law, no person R FORM TO THE ABOVE ADD	RESS.	<del>-</del> Ĉ	591				
1. REPORT DATE (DD-MINI-	''''		may		1/97-2/28/91	3			
12 October, 2000 4. TITLE AND SUBTITLE		rechaical repor	t	5a. C	ONTRACT NUMB	ER			
Integrated Instrumentat	ion for Electro	o-optic Polymer Deve	lopment						
Integrated Instrumentation for Electro-optic Polymer Develo					5b. GRANT NUMBER F49620-97-1-0124				
		•			5c. PROGRAM ELEMENT NUMBER				
			30.1	NOOIGHI LLLINE					
C AUTHOD(C)				5d. P	ROJECT NUMBE	R			
6. AUTHOR(S) Alex K-Y. Jen									
Current Address: Dept. 0	f Materials So	cience & Engineering	, Box 352120	5e. T	ASK NUMBER				
Current Address: Dept. of Materials Science & Engineering, Box 352120					at Werk INIT WINDER				
University of Washington, Seattle, WA 98195-2120				51. W	5f. WORK UNIT NUMBER				
					RFORMING ORG	ANIZATION REPORT			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)					JMBER				
Northeastern University		Department of							
Northeastern University									
Boston, MA 02115									
9. SPONSORING / MONITO	DING AGENCY	NAME(S) AND ADDR	ESS(ES)	10. 5	PONSOR/MONIT	OR'S ACRONYM(S)			
Dr. Charles Y-C. Lee	MINO ACEITO	(0)11112	,						
AFOSR/NL						ODIO DEDODE			
801 N. Randolph St. #732	2			l l	PONSOR/MONIT	UK'S REPURT			
Arlington, VA 22203-197	7			· ·	Compension				
12. DISTRIBUTION / AVAIL			ION UNLIMITED						
13. SUPPLEMENTARY NO	TES .								
13. SUPPLEMENTANT ITS									
We have developed an intersuitable polymeric E-O muse product requirements and screen materials as the values, while the compatible material system will demonstrate the community.	aterials for into of the material by are being d	tegrated optics. The in a device. The se eveloped. The test to	e material test require equential test procedure argets a simple integrated during the fabrics.	ments were based to is consist of a section of the test de tion of the test de	eries of tests des o measure a wide evice. The devel	signed to efficiently test le range of performance comment of an enhanced			
				2000	1109	<b>NN</b> 6 _			
15. SUBJECT TERMS				2000	1147	VVV			
ELECTRO-OPTIC,				140 MILLIONS	AON NAME OF	RESPONSIBLE PERSON			
16. SECURITY CLASSIFICA	TION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	Alex K-Y.				
1	STRACT	c. THIS PAGE	_	8		NE NUMBER (include area			
a. KLI OKI		Unclas		١	code)				
Unclas	Unclas	Uncias			(206) 543-				
		<del></del>			Standard I Prescribed by	Form 298 (Rev. 8-98) ANSI Std. 239.18			

# AIR FORCE OFFICE OF SCIENTIFIC RESEARCH END-OF-THE-YEAR-REPORT

for

**GRANT** #, F49620-97-1-0124

## **Integrated Instrumentation for Electro-optic Polymer Development**

Alex K-Y. Jen

Northeastern University Boston, MA 02115

Current Address: Department of Materials Science & Engineering Box 352120 University of Washington, WA 98195-2120

October 1, 2000

Reproduction in whole, or in part, is permitted for any purpose of the United States Government. This document has been approved for public release and sale, its distribution is unlimited.

#### 1. Introduction

A major driving force for optical connections is that optical pulses are unaffected by electrical capacitance that delays electrical pulses, allowing operation at higher clock rates. Before optical interconnections become practical, affordable optical switches and fast optoelectronic conversion devices need to be developed. Polymeric nonlinear optical materials offer exciting new opportunities in integrated nonlinear optics. In particular, electro-optic (E-O) polymeric materials exhibit low dispersion and low dielectric constants. E-O polymers have been modulated to 60 GHz and exhibit few fundamental limits for ultra fast modulation and switching. Polymeric integrated optical materials also offer great fabrication flexibility in electronic systems applications. High levels of integration have been demonstrated with polymers by using multiple layers of wave guides as well as in-plane and out-of plane mirrors. The potential for low-cost manufacturing, packaging, and assembly arises from the demonstrated ability to perform hybrid integration of single-mode components using lithographically-defined registration techniques. Advanced products include components for "flight-by-light" and phase array radar applications, opto-isolators for semiconductor test fixture applications, impedance matching fan out modules, processor multichip modules with high bandwidth interfaces between CPU and second-level cache, and 8-12 bit, high-speed A-D's. Electro-optic polymers are unique in offering this level of product potential. However, despite the exciting promise of these materials, only laboratory demonstrations have occurred.

A number of different groups are developing the basic NLO molecules, polymeric materials, processes, and devices. These different groups measure and report their results based on different specific and individual tests. There is no accepted test procedure to compare results and compare these to what is needed for a functioning device. Due to the different test procedures and measurement methods employed, it is difficult to make comparisons between the materials. Thus, selecting the most promising development path becomes difficult.

To further compound the problem, results reported in the literature for new materials do not measure all the properties relevant for practical systems. The data reported for new systems can not be compared because no consistent sets of measurements are used. The material development progress for the entire field is thus retarded because of the lack of a consistent test methodology to guide the development of E-O polymers for integrated optics.

### 2. Objective

The objective for developing an integrated instrumentation is to demonstrate and implement a test procedure that will enhance the development of suitable polymeric E-O materials for integrated optics. The material test requirements are based on the fabrication, assembly, and end use product requirements of the material in a device. The sequential test

procedure is consist of a series of tests designed to efficiently test and screen materials as they are being developed. The test targets a simple integrated optic device to measure a wide range of performance values, while the compatibility of the material is evaluated during the fabrication of the test device. The development of an enhanced material system will demonstrate the usefulness of the procedure. The test methods have been transfer to the material development community.

## 3. Impact on the new research program of E-O materials at the Northeastern University

This integrated instrumentation has greatly enhanced the capability of the new E-O materials research facility at the Northeastern University to evaluate the E-O material system properties. The new facility established by Professor Alex Jen possesses the capability of performing the electric field induced second harmonic generation (EFISH) measurements for determining the molecular hyperpolarizability  $(\beta\mu)$  of the NLO chromophores. This facility is also equipped with the instruments such as TGA and DSC for thermal analysis; GPC and HPLC for polymer molecular weight measurement; and Dektak instrument for measuring thin film thickness. In addition, FT-IR and UV-Vis-Near IR spectrometer are used to determine the thermal stability of the E-O polymer thin films. This integrated instrumentation has helped to bridge between the effort of evaluating NLO chromophore molecular studies, and E-O polymeric material system properties, and thus, directly impact the fabrication of waveguide structures for device applications. More than twenty electro-optic materials related papers have been published in the refereed journals based on the characterization results derived from this set-up. In addition, this facility has provided very useful services to researchers (Professor Seth Marder-University of Arizona, Professor Larry Dalton-USC, Professor James Bu-Clark-Atlanta University, and Dr. O. K. Kim-ONR) that are supported by the DoD's funding agency. The capability of this integrated instrumentation includes the spin-coating of uniform polymer thin films, measurements of both TM and TE refractive indices, optical loss, and electro-optic coefficients of poled E-O polymers at various wavelengths of device interest.

## 4. Interface between the instrumentation and the facility for light-emitting (LED) materials research at the Northeastern University

This integrated instrumentation interfaces very well with the LED materials research facility at Northeastern University (NU) to jointly evaluate organic photonic/opto-electronic material properties. One of the new research program proposed by both professors Alex Jen and Yang Yang (UCLA) aims at demonstrating an integrated all polymer LED/E-O device by using organic conjugated polymers as both a light source (LED) and a photo-detector, and using NLO

polymer channel waveguides as an E-O switching device. This instrumentation greatly enhances the capability of quickly developing/screening E-O materials systems to ensure the greatest chance of success. In the areas of optical and electrical characterization, the micromanupilator device could be used to cure (up to 400 °C) and pole NLO thin films and channel waveguides; Metricon prism coupler could measure refractive index, optical loss, and thickness of polymer thin films; lock-in amplifier and the associated electronic system could measure optical and electro-optic signal generated by LED/E-O materials. This integrated instrumentation will help to bridge between the effort of evaluating E-O and LED polymeric material system properties, and thus, directly impact the fabrication of all polymer LED/E-O devices.

### 5. Research training of students

The highly interdisciplinary nature of the program to develop high performance E-O materials for device applications, the outstanding faculty and institutions involved, and connections with high technology device companies and DOD laboratories ensure a rich educational environment for the graduate students, postdoctoral, and undergraduate students involved. Students will be active members involved in closely integrated material synthesis, characterization, and device fabrication. Students associated with this program will emerge with a unique background and complement of skills. The ability to communicate with and work with academic, government, and industrial researchers in other disciplines towards a common goal will uniquely qualify them for the technical workforce of the future.

### 6. Publications that acknowledge the AFOSR

- 1. "Design and Synthesis of Chromophores and Polymers for Electro-optic and Photorefractive Applications", S. R. Marder, B. Kippelen, A. K-Y. Jen, and N. Peyghambarian, Nature, 1997, 388, 845.
- 2. "Recent Progress of Electro-optic Polymers for Device Applications", A. K-Y. Jen, S. R. Marder, and C. F. Shu, <u>Polymer Preprints</u>, 1997, **38(2)**, 495.
- 3. "High Performance Chromophores and Polymers for Electro-optic Applications", A. K-Y. Jen, Technical Abstracts, The Seventh International Kyoto Conference on New Aspects of Organic Chemistry, 1997, 25.
- 4. "Recent Development of Highly Efficient Chromophores and Polymers for Electro-optic Device Applications", A. K-Y. Jen, Q. Yang, S. R. Marder, L. R. Dalton, and C. F. Shu, Mat. Res. Soc. Proc., 1998, 488, 193.

- 5. "High Performance Polyquinolines with Pendent High Temperature Chromophores for Second Order Nonlinear Optics", A. K-Y. Jen, X. M. Wu, and H. Ma, <u>Chem. Mater.</u>, 1998, **10**, 471.
- 6. "A versatile Synthetic Approach to Nonlinear Optical Side-chain Aromatic Polyquinolines with Large Second-order Nonlinearity and Thermal Stability", H. Ma, X. Wang, X. Wu, S. Liu, and A. K-Y. Jen, Macromolecules, 1998, 31(12), 4049.
- 7. "Synthesis of Novel Nonlinear Optical Chromophores", X. Wu and A. K-Y. Jen, <u>Polymer Preprint</u>, 1998, **39(2)**, 1091.
- 8. "A Versatile Approach for the Synthesis of Side-chain Aromatic Polyquinolines for E-O Devices", H. Ma, S. Liu, X. M. Wu, X. Wang, and A. K-Y. Jen, <u>Polymer Preprint</u>, 1998, 39(2), 1109.
- "Two Photon Absorption, Fluorescence, and Scattering of Nonlinear Optical Materials",
   C. H. Wang, M. A. Pauley, J. N. Woodford, and A. K-Y. Jen, <u>Polymer Preprint</u>, 1998,
   39(2), 1132.
- 10. "NLO Chromophores with Configuration-locked Polyene Possessing Enhanced Thermal and Chemical Stability", C. Shu, Y-C. Shu, Z-H. Gong, S-M. Peng, G-H. Lee, and A. K-Y. Jen, Chem. Mater. 1998, **10(11)**, 3284...
- 11. "Design and Synthesis of Highly Efficient Chromophores and Polymers for Electro-optic Applications", A. K-Y. Jen, H. Ma, X. Wu, J. Wu, S. Liu, P. Herguth, S. R. Marder, C. F. Shu and L. R. Dalton, Nonlinear Optics, 1999, 22, 9.
- "Synthesis and Characterization of Highly Efficient, Chemically and Thermally Stable Chromophores with Chromone-containing Electron Acceptors for Nonlinear Optical Applications", A. K-Y. Jen, Y. Liu, L. Zheng, S. Liu, K. J. Drost, and Y. Zhang, Adv. Mater., 1999, 11(6), 452.
- 13. "Highly Efficient, Thermally and Chemically Stable Second-order Nonlinear Optical Chromophores with a 2-Phenyl-tetracyanobutadienyl Acceptor", X. Wu, J. Wu, and A. K-Y. Jen, J. Am. Chem. Soc., 1999, 121(2), 472.
- 14. "A Modular Approach of Functionalizing Aromatic Polyquinolines for Electro-optic Devices", H. Ma, A. K-Y. Jen, J. Wu, X. Wu, S. Liu, C-F. Shu, L. R. Dalton, S. Thayumanavan and S. R. Marder, Chem. Mater. 1999, 11, 2218.
- "The Molecular and Supramolecular Engineering of Polymeric Electro-optic Materials", B. H. Robinson, L. R. Dalton, A. W. Harper, A. Ren, F. Wang, C. Zhang, G. Todrovac, M. Lee, R. Aniszfeld, S. Garnerd, A. Chen, W. H. Steier, S. Houbrechte, A. Persoon, I. Ledoux, J. Zyss, and A. K-Y. Jen, <u>Chem. Phys.</u>, 1999, 245, 35.

- 16. "Recent Progress of Highly Efficient Chromophores and Polymers for Electro-optic Devices", A. K-Y. Jen, H. Ma, X-M. Wu, J-Y. Wu, S. Liu, S. R. Marder, L. R. Dalton and C-F. Shu, Proc.SPIE, 1999, 3623, 112.
- "Synthesis and Characterization of Nonlinear Optical Chromophores with Conformation-locked Polyenes Possessing Enhanced Thermal Stability", Y. Shu, Z-H. Gong, C-F. Shu, E. M. Breitung, R. J. McMahon, G-H. Lee and A. K-Y. Jen, Chem. Mater., 1999, 11, 1628.
- "Electro-optic Polymers and Applications: Materials Based on Heteroaromatic NLO Chromophores", A. K-Y. Jen, and Y. Zhang, Book Chapter in "Photonic Polymer Systems" Edited by Donald Wise and Gary Wnek, Marcel Dekker Publishers, 1998, 847.
- 19. "From Molecules to Opto-Chips: Organic Electro-Optic Materials," L. R. Dalton, L. Irwin, B. Carlson, F. Fiffield, G. Phelan, C. Kincaid, J. Amend, C. Zhang, A. Ren, M. Lee, G. Todorov, S. M. Garner, A. Chen, W. H. Steier, H. Fetterman, and A. K. Y. Jen, J. Mater. Chem., 1999. 9, 1905.
- 20. "Polyquinolines: Multifunctional Polymers for Electro-optic and Light-emitting Applications", A. K-Y. Jen and H. Ma, <u>Mat. Res. Soc. Proc</u>. (in press).
- 21. "Highly Efficient, Thermally and Chemically Stable Nonlinear Optical Chromophores Based on The α-Perfluoroaryl-dicyanovinyl Electron Acceptors", X. Wu, J. Wu, Y. Liu and A. K-Y. Jen, <u>Chem. Commun.</u>, 1999, 23, 2391.
- 22. "Realization of Polymeric Electro-optic Modulators with Less Than one Volt Drive Voltage Requirement", C. Zhang, M. Lee, A. Winkleman, H. Northcroft, C. Lindsey, A. K-Y. Jen, T. Londergar, W. H. Steier, and L. R. Dalton, Mat. Res. Soc. Proc., 1999, xxx.
- 23. "Thermal Poling of Soda-Lime Glass for hybrid Glass/Polymer Electro-optic Modulators", Y. Enami, P. Poyhonen, D. Mathine, A. Bashar, M. Paratheepan, S. R. Marder, S. Honkanen, B. Kippelen, N. Peyghambarian, A. K-Y. Jen, J. Wu, Appl. Phys. Lett., 2000, 76(9), 1086.
- 24. "A Novel Class of High Performance Perfluorocyclobutane-Containing Polymers for Second-Order Nonlinear Optics", H. Ma, J. Wu, P. Herguth, B. Chen and A. K-Y. Jen, Chem. Mater., 2000, 12, 1187.
- 25. "Novel Perfluorocyclobutane-Containing Thermoset Polymers and Dendrimers for Electro-Optic Devices", H. Ma, B. Chen, L. R. Dalton, and A. K-Y. Jen, <u>Poly. Mater. Sci. Eng.</u>, 2000, **83**, 165.

#### **Purchased Equipment**

Equipment	Model	Unit Price	Totals		Vender and Address
Lock-in Amplifier					Stanford Research Systems
SRS Lock-In Amplifier	SR850	\$ 7,500.00			1290-D Reamwood Ave.
FET Input Preamplifier	SR550	\$ 495.00			Sunnyvale, CA 94089
P: Input Pleamphiles	SR552	\$ 495.00			Tel: (408)744-9040
Bipolar Input Amplifier	SR554	\$ 995.00			Fax: (408)744-9049
Transformer Preamplifier	O760H	\$ 100.00			Tax. (100)/ 1.13013
Carrying Handle	07001	\$ 100.00	\$	9,585.00	
Sub Total			-3	3,303.00	
Function Generator	~~~	0150500			
Function Generator	DS345	\$ 1,595.00			
GPIB and RS-232 Interface	Option 01	\$ 495.00			
High Stability Timebase	Option 02	\$ 650.00			
Sub Total				2,740.00	
Low Noise Preamplifier	ļ				}
Low Noise Preamplifier	SR 560	\$ 1,895.00			
Sub Total		[	\$	1,895.00	
Optical Chopper					
Optical Chopper	SR 540	\$ 995.00			
Replacement Chopper Head	0540RCH	\$ 220.00			
Sub Total	) OSTOROLL	• ==•	\$	1,215.00	
			<u></u> _		1
High Voltage Power Supply	PS350	\$ 1,150.00			
High Voltage DC Power	Opt. 01	\$ 495.00			
Supply	Opt. 01	\$ 495.00	\$	1,645.00	
GPIB Interface			4	1,045.00	1
Sub Total	(100	6.4.005.00			Trek
High Voltage	610C	\$ 4,095.00	•	4 005 00	3932 Salt Works Rd.
Supply/Amplifier/Control		,	\$	4,095.00	
Sub Total	ļ	ļ		.,	Medina, NY 14103
Compensator	1				Melles Griot
Soleil-Babinet Compensator	04SBC001	\$ 4,069.00			1770 Kettering St.
Sub Total			\$_	4,069.00	Irvine, CA 92714
Polarizers					Tel: (800)835-2626
Glen-Taylor Polarizing Prism	03PGL301	\$ 726.00			Fax: (714)261-7589
(2)	07HPR003	\$ 260.00			
Prism Holder (2)	İ		\$	1,972.00	1
Sub Total	1				
Rotation Control System					AEROTech
Rotational Stage	ART301	\$ 747.00	1		101 Zeta Dr.
Unidex Motion Controller	U11x-4-A-	\$ 4,885.00			Pittsburger, PA 15238
GPIB Card	vvv	\$ 495.00	1		Tel: (412)963-7470
LabVIEW Software		\$ 1,995.00	1		Fax: (412)963-7459
Sub Total	LabVIEW	1	S	8,122.00	
			Ť		Laser Max
Light Sources	Las-300-	\$ 4,500.00			3495 Winton Place, Bldg.
Diode Laser, 830 nm	830-20	\$ 3,800.00	1		B
Diode Laser, 1300 nm	•	\$ 4,600.00			Rochester, NY 14623
Diode Laser, 1550 nm	Las-300-	φ <del>4</del> ,000.00			Tel: (716)272-5420
Sub Total	1300-20				Fax: (716)272-5427
	Las-300-	1	-	12 000 00	1 ax. (110)212-3421
	1550-20		\$	12,900.00	

· · · · · · · · · · · · · · · · · · ·		T			Migromaninulator	
CV Probing Test Stations		0 15 050 00			Micromanipulator 1555 Forrest Way	
Test station	D6	\$ 17,050.00			Carson, NV 89706	
Accessary		\$ 1,000.00	_	10.050.00	-	
Sub Total			\$	18,050.00	Tel: (702)882-7377	
Prism Coupler					Metricon Corporation	
Metricon 2010 Prism Coupler	2010	\$ 28,500.00			P.O.Box 63	
Prism, low index	200-P-1	\$ 750.00			Pennington, NJ 08534	
Prism, high index	200-P-2	\$ 750.00			Tel: (609)737-1052	
Prism, broad index	200-P-3	\$ 750.00			Fax: (609)737-1567	
Prism, broad index	200-P-4	\$ 750.00				
TM mode option	2010-TM	\$ 1,250.00		•	<del>!</del>	
Non-contact thickness	2010-VO	\$ 3,000.00	İ	•		
measurement	2010-NSW-1550	\$ 5,200.00				
Diode laser, nominal 1550 nm	2010-NSW-1300	\$ 3,800.00	]			
Diode laser, nominal 1300 nm	2010-NSW-830	\$ 4,000.00				
Diode laser, nominal 830 nm	2010-SBL-IR	\$ 1,500.00				
Secondary Input Port	2010-GE	\$ 1,200.00			•	
Germanium Detector	2010-WGL2	\$ 5,300.00				
Waveguide loss measurement						
option			İ			
Sub Total			\$	56,750.00		
Optical Table					Newport Corporation	
Optical Table	RS3000-512-12	\$ 11,775.00	1		1791 Deere Ave.	
Table legs (4)	I-2000	\$ 3,455.00	ļ		Irvine, CA 92714	
Overhead Table Shelf System	ATS-12	\$ 2,091.00	1		Tel: (800)222-6440	
Sub Total			\$	17,321.00	Fax: (714)253-1680	
Spin Coater (cost shared)					Solitec, Inc.	
Single-head coater	5110-CT	\$ 22,070.00			3901 Burton Dr.	
Sub Total			\$	22,070.00	Santa Clara, CA	
	ļ				95054	
Total Equipment Cost			. \$	162,429.00		
COST SHARE			\$	(22,070.00)		
To	\$	140,359.00				